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Attorney Docket No. P01-3685 Client/Matter No. 68854.0186 Express Mail Label No. EV035493408US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, and Bill Pagano

Serial No. 10/086,599 Filed: October 19, 2001

For: METHOD AND APPARATUS FOR CONTROLLING COMMUNICATIONS IN DATA STORAGE

**COMPLEXES** 

RECEIVED

Art Unit: 2185 OCT 2 2 2002

Examiner: Technology Center 2100

Confirmation No.: 1960

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The Applicant has recently become aware of the additional references noted on the enclosed form PTO/SB/08A and submits these additional references for the Examiner's consideration pursuant to the Applicant's duty of disclosure under 37 C.F.R. 1.97(b)(3). Because an office action has not yet been mailed in this case, no fee is believed required. However, authorization is hereby granted to credit any overpayment or debit any underpayment of any fee required pursuant to this First Supplemental Information Disclosure Statement to Deposit Account No. 50-1123.

Submission of the instant Supplemental Information Disclosure Statement is not a representation that a search has been made or that the cited information is, or is considered to be, material to patentability of the above application. Copies of the references listed on the enclosed form are attached.

Dated 16 (20)60 .200

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Respectfully submitted

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10-21-02

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CERTIFICATE OF MAILING BY EXPRESS MAIL

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Assistant Commissioner for Patents Washington, D.C. 20231

OCT 2 2 2002

Sir:

Technology Center 2100

The undersigned hereby certifies that the attached:

- 1. First Supplemental Information Disclosure Statement;
- 2. Form PTO/SB/08A, with references;
- 3. Certificate of Mailing by Express Mail; and
- 4. Return Card

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV035493408US, with the United States Postal Service, addressed to The Commissioner of Patents and Trademarks, Washington, D.C., 20231.

13

October 2002

Date

<u> 7 to</u> Date Mailer

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